

# **Investment Management Certificate Program (IMCP)**

University of Wisconsin-Milwaukee

Lubar School of Business

[www.lubar.uwm.edu/IMCP](http://www.lubar.uwm.edu/IMCP)

## **Students Yet to Graduate**

### **Class of 2017**

Xia Chang  
Jesus Garcia  
Miguel Garcia

### **Contact Information:**

Director: G. Kevin Spellman, CFA, PhD  
608-334-2110; spellman@uwm.edu

# XIA CHANG

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*Passed CFA Level I  
CFA Level II Candidate*

**June 2017**

## **EDUCATION:**

### **University of Wisconsin-Milwaukee (UWM)**

**Master of Science in Management – Finance Analysis**

**Anticipated Graduation: May 2019**

**Bachelor of Business Administration**

**Graduation: May 2017**

Major: Finance

UWM GPA: 4.0/4.0; UWM and Transfer Bus/Econ GPA: 3.7 / 4.0

**Investment Management Certificate Program, (17-Month) (lubar.uwm.edu/IMCP)**

- A CFA University Affiliated Program
- Analyzed individual securities over multiple asset classes
- Monitored and rebalanced portfolios of real money
- Reported performance and attribution to internal and external investment boards that oversee over \$7 mil.

### **North China Electric Power University (NCEPU)**

**Bachelor of Business Administration**

**Graduation: May 2017**

Major: Finance

## **PROFESSIONAL EXPERIENCE:**

*Assistant to the Secretary of Board of Directors – Beijing RayooTech*

**July 2017 – September 2017, Beijing**

- Assisted on regular contacts with investment bank, accounting firm, law firm, and institutional customers
- Dealt with all the required documents for the firm's pre-IPO formalities

*Assistant – Ping An Bank*

**July 2016 – September 2016, Beijing**

- Examined foreign exchange transactions, managed offshore accounts and updated account information
- Supplied financial services to international business clients

*Intern – Bank of Nanjing*

**May 2016 – July 2016, Beijing**

- Collected required documents and upload borrower's information
- Analyzed mortgages and personal credit loans

*Assistant to the Manager of IT Division – Headquarters of Bank of China*

**January 2016, Beijing**

- Maintained regular contact and facilitated business co-operation with international card issuing organizations
- Followed up with all BOC's existing projects and expanded its potential business areas

*Trainee – Citibank Young Talent Program*

**Spring & Summer 2015, Beijing**

- Trained on asset management and provided services for customized clients
- Led team in business plan with market research and presentation on the luxurious handicrafts industry

*Research Assistant – North China Electric Power University*

**July 2014 – July 2015, Beijing**

- Worked on market research on a Chinese listed high-tech aerospace company
- Recorded upward and downward price fluctuations for 12 months

## **TECHNICAL SKILLS:**

- Bloomberg certified, EVA Express, and FactSet
- Microsoft Word, Excel, PowerPoint, VBA, Outlook, Access, Photoshop

## **ACTIVITIES:**

- CQA Investment Challenge, Portfolio Management Simulation (Amplify Trading)
- Student Investment Club, La Macchia New Venture Business Plan Competition
- 2015 Citibank Young Talent Summer Camp
- Volunteer and service staff in the 42nd China Fur & Leather Products Fair
- Monitor in 20-student class in North China Electric Power University (NCEPU)

## **HONORS:**

- One of the two 4.0 GPA graduate seniors in Lubar School of Business
- 2017 Dean's List, 2016 Dean's List, 2015 Dean's List
- Global Partners Scholarship in UWM;
- The outstanding person in Citibank Young Talent Program
- Outstanding Achievement Scholarship in NCEPU
- The outstanding leader in NCEPU
- The Best College Debater; The 7th NCEPU Melodrama Series Champion

# JESUS GARCIA

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*Passed all three levels of the CFA exam on the first attempt within 18 months*

**August 2017**

*Passed all four parts of the Uniform CPA Exam on the first attempt within five months*

**August 2014**

## EDUCATION:

### University of Wisconsin – Milwaukee

**Milwaukee, WI**

#### **Master of Science in Management in Concentration of Financial Analysis**

**Anticipated: May 2018**

- GPA: 4.0/4.0

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyzed individual securities over multiple asset classes
- Monitored and rebalanced portfolios of real money
- Reported performance and attribution to both internal and external investment boards that oversee \$7 mil.

#### **Master of Science in Management in Concentration of Professional Accounting**

**May 2014**

- GPA: 3.3/4.0

#### **Bachelor of Business Administration**

**May 2013**

- Cumulative GPA: 3.3/4.0

## PROFESSIONAL EXPERIENCE:

### **Oarsman Capital**

**Milwaukee, WI**

*Research Analyst Intern*

**June 2016–Present**

- Use financial models and market research to formally present on various equity securities
- Gather data for portfolio managers from Bloomberg and sell-side research
- Connected the firm's Excel models directly to Bloomberg using the API feature

### **Schenck SC**

**Milwaukee, WI**

*Staff Accountant-Audit*

**December 2014–October 2015**

- Performed various test procedures, including analytical and tests of account balances and details, to verify the accuracy of the clients' financial reporting
- Assisted with the preparation of the issued financial statements, including the balance sheet, income statement, statement of cash flows, and the notes to the financial statements

### **Penta Technologies**

**Brookfield, WI**

*QA Analyst*

**June 2014–November 2014**

- Tested for the proper functionality of accounting software prior to release of the latest version
- Acquired knowledge on the various accounting cycles such as payables, receivables, inventory, fixed assets, and payroll

## TECHNICAL SKILLS:

- Bloomberg certified and FactSet
- Microsoft Excel, Word, PowerPoint
- Fluent in English and Spanish

## OTHER ACTIVITIES:

*Beta Alpha Psi–VP of Tutoring Committee*

**January 2012–May 2012**

- Organized the tutoring schedule for the tutors and was responsible for coordinating questions with the professors
- Recognized as the Tutor of the Semester for the fall of 2011

# MIGUEL A GARCIA

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*CFA Level I Examination: Passed*

**December 2015**

*CFA Level II Examination: Passed*

**June 2016**

*CFA Level III Examination: Candidate*

*June 2018*

*Successfully passed all four parts of the Uniform CPA Examination on the first attempt*

## **EDUCATION:**

### **University of Wisconsin–Milwaukee**

**Master of Science in Management–Financial Analysis**

**Anticipated Graduation: May 2018**

Cumulative GPA: 3.92/4.00

**Milwaukee, WI**

Investment Management Certificate Program (17–Month) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyzed individual securities over multiple asset classes
- Monitored and rebalanced portfolio of real money
- Reported performance and attribution to internal and external investment boards that oversee \$7 mil.

### **University of Wisconsin–Milwaukee**

**Bachelor of Business Administration**

**Graduation: May 2015**

Major: Finance and Accounting

**Milwaukee, WI**

Finance Major GPA: 3.80/4.00

Cumulative GPA: 3.43/4.00

*Dean's List: 3 Semesters*

*Sophomore Honors*

Financed over 50% of tuition and living expenses through employment while a full–time undergraduate student

## **PROFESSIONAL EXPERIENCE:**

**Heartland Advisors, Inc.**

**Milwaukee, WI**

*Research Intern I*

**August 2016–April 2017**

- Performed extensive analysis on portfolio holdings and prospective investments
- Prepared research reports and models based on due diligence and analysis
- Researched equity securities and analyzed earnings, cash flow, balance sheet, and business strategy

**Schenck SC**

**Milwaukee, WI**

*Tax Intern*

**January 2015–April 2015**

- Prepared individual, partnership, and corporate tax returns
- Performed additional tasks as assigned

**Joy Global, Inc.**

**Milwaukee, WI**

*Corporate Tax Intern*

**January 2014–December 2014**

- Prepared federal pro–forma corporate tax returns
- Assisted in preparing federal quarterly estimates

## **INTERESTS & OTHER ACTIVITIES:**

*Sole Matter Dance Company–Crew Member*

**September 2013–May 2014**

*The Family Dance Crew–Crew Member*

**September 2013–May 2014**

*Student Investment Club–Consumer Discretionary Analyst*

**October 2014–May 2015**

- Researched and pitched new stocks for purchase and monitored existing positions

## **TECHNICAL SKILLS:**

- Bloomberg certified and FactSet
- Microsoft Office (Excel, Word, and PowerPoint)
- Fluent in English and Spanish