

Investment Management Certificate Program (IMCP)

University of Wisconsin-Milwaukee

Lubar School of Business

www.lubar.uwm.edu/IMCP

Class of 2019 Resume Packet:

Abdullah Alsagabi

Rachel Baetke

Karsen Bell

Todd Blatnik

Dace Bregantini

Rogelio Cuevas

Carter Kauth

Connor Kempson

Matthew Klaver

Samuel Martinez

Jacob Meehan

Brett Michaels

Nicholas Thillman

Derek Urben

Gus Vanevenhoven

John Veal

Travis Wiedmeyer

Contact Information:

Director: G. Kevin Spellman, CFA, PhD

608-334-2110; spellman@uwm.edu

ABDULLAH ALSAGABI

1855 N Cambridge Ave Apt # 307 • Milwaukee WI 53202 • (206) 660-0014 • alsagabi@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee

Bachelor of Business Administration

Graduation: May 2019

Major: Finance

Minor: Economics

Cumulative GPA: 3.763/4.000

Dean's Honor List: 2016-17

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

- A CFA University Affiliated Program
- Aid in monitoring and rebalancing real portfolios with over \$7.0M of cumulative AUM
- Analyze securities and asset classes in accordance with IPS
- Present performance attribution to internal and external investment boards

University of Delaware

August 2014 - May 2016

Major: Finance

Dean's Honor List: Spring 2016

Boston University

January 2013 - June 2014

SABIC Foundation Year Program

- Completed a foundation year program held at Boston University

Scholarships:

SABIC Scholarship Program

January 2013 - May 2019

- Scholarship from petrochemical company in Saudi Arabia

LANGUAGES:

- **Arabic** (Native)
- **English** (Fluent)

WORK EXPERIENCE:

Dates Festival

Buraydah, Saudi Arabia

Salesman

Summer 2009-12 and 2014-15

- Worked in annual festival held in Buraydah for six weeks to sell dates

TECHNICAL SKILLS:

- Bloomberg and FactSet
- Microsoft Excel, Word, PowerPoint

ACTIVITIES:

- Student Investment Club - Member **March 2017 - Present**
- SABIC Scholarship Student Council **March 2017 – Present**
- Ibn Kather Organization (Riyadh, KSA) - Volunteer Supervisor **2006-2012**
- AIChE University of Delaware Student Chapter - Member **2014-2015**

RACHEL BAETKE

2735 N Murray Ave Apt # 4 • Milwaukee WI 53211 • (920) 901-1159 • rbaetke@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee **Bachelor of Business Administration**

Anticipated Graduation: May 2019

Major: Finance

Cumulative GPA: 3.768/4.000

Dean's Honor List: 2014, 2015, 2016, 2017

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7M of cumulative AUM

Financed 100% of tuition/living expenses through employment/loans while a full-time student

PROFESSIONAL EXPERIENCE:

New Land Enterprises, LLP

Milwaukee, WI

Leasing Agent/Resident Manager

April 2015–Present

- Completed landlord certification
- Maintain a clean and respectful property in accordance to policy and procedures
- Complete regular rental inspections

BMO Harris Bank

Milwaukee, WI

Service Representative

January 2017–Present

- Process large currency transactions
- Follow government regulations and bank policies, directives, and procedures
- Provide customer service to build relationships and best assess financial needs

Shoreline Credit Union

Manitowoc, WI

Teller

April 2013–January 2015

- Completed financial legal documents in order to maintain member accounts
- Organized and handled confidential documents
- Processed a variety of financial transactions while complying with all government regulations and bank policies, directives, and procedures

TECHNICAL SKILLS:

- Bloomberg, EVA Express, and FactSet
- Microsoft Excel, Word, PowerPoint, Outlook, Access, VBA programming
- Adobe Photoshop Elements, Lightroom
- Windows, Mac OSX, Linux

OTHER ACTIVITIES:

- UWM Center for Community-Based Learning, Leadership, & Research **October 2014–Present**
- Hobbies: Photography, cooking, music, theatre, Pilates, basketball, volleyball

KARSEN BELL

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EDUCATION:

University of Wisconsin – Milwaukee

Bachelor of Business Administration

Anticipated Graduation: May 2019

Major: Finance

Cumulative GPA: 3.31/4.00

Dean's Honor List: 2014, 2015

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

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PROFESSIONAL EXPERIENCE:

Prudential Financial

Wauwatosa, WI

Marketing Assistant Intern

Mar. 2017–Present

- Work closely with a team of advisors to help assist in management services
- Generate a variety of different reports based on selected client criteria
- Assist in the issuance of wealth, ownership, and beneficiary transfers

Rent College Pads (Venture Capital Fund)

Milwaukee, WI

Operations Intern

Sept. 2016–Mar. 2017

- Routinely entered data, invoices, and contractual agreements for managerial review and to ensure customer satisfaction
- Was responsible for back-end data entries using analytical tools to better assess demographics, consumer trends, and available properties for prospective renters
- Worked directly with partners and associates to develop improvements for company website and implement periodic updates
- Trained employees on the firm's operations, focusing on back-end site development

Culvers

Elm Grove, WI

New Employee Trainer

Jul. 2012–Aug. 2014

- Trained incoming employees on all restaurant positions
- Provided efficiency in a high stress and fast-paced environment
- Commitment of Excellence Award – 2014: Recognized in providing outstanding customer service

TECHNICAL SKILLS:

- Bloomberg, EVA Express, and FactSet
- Microsoft Excel, Word, PowerPoint, Access
- Proficiency in French (oral and written)
- Wealthscape, ClientLink, & Lotus Notes

OTHER ACTIVITIES:

- **2016 – Present:** Student Investment Club–Consumer Discretionary Analyst
 - Provide analyst updates, pitched Tesla Motors, and monitored existing positions
- **2016 – Present:** Alpha Kappa Psi Professional Business Fraternity– Co-Chairman of Professional Development Committee
 - Work with a team to find sponsorship opportunities and arrange workshops for members
 - Extensive volunteer service and leadership development
- Hobbies: Saltwater fishing, Painting (acrylic, oil, and watercolor), & Traveling

TODD BLATNIK JR.

3053 N Frederick Ave • Milwaukee WI 53211 • (262) 751-4592 • tblatnik@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee

Masters in Financial Analysis

Expected Graduation: May 2019

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios for over \$7 mil of cumulative AUM
- Present performance and attribution to both internal and external investment boards

University of Wisconsin – Milwaukee

Bachelor of Business Administration

Graduated May 2017

Majors: Finance, Accounting

Cumulative GPA: 3.375/4.000

Deans Honor List: 2016, 2017

United States Military Academy Preparatory School

Graduated May 2013

Recruited to play Division I Football for Army

General Academy Courses in preparation for West Point

Cumulative GPA: 3.400/4.000

PROFESSIONAL EXPERIENCE:

Gardner Denver Inc.

**Milwaukee, WI
June 2017–Present**

Treasury Intern

- Innovate processes of improvement and centralization within treasury
- Forecast and manage cash flows
- Create systems of visual analytics for upper management

Northwestern Mutual

**Milwaukee, WI
August 2016–April 2017**

Investment Accounting Intern – Controllers Department

- Securities and derivatives accounting
- Assist with month-end and quarter-end closing responsibilities
- Reconcile investment reports

Johnson Controls Inc.

**Milwaukee, WI
June 2015–August 2015**

Billing Representative Intern – Building Efficiency Division

- Processed invoices, validated credit, and rebilled clients
- Executed on other related assignments and projects

College Works Painting

**Hartland, WI
February 2014–August 2014**

Branch Manager & Production Manager

- Started a branch, ran operations, and produced over \$55,000 through College Works Painting
- Managed employees and made business with clientele

TECHNICAL SKILLS:

- Bloomberg, EVA Express, FactSet, Tableau, and GRETl
- Microsoft Excel, Word, PowerPoint, Visio, PFI/PAM, Calypso, JDE, NxGen, Outlook, and Access

OTHER ACTIVITIES:

- Student Investment Club **September 2015–Present**
- Delta Sigma Pi – Business Professional Fraternity **January 2014-May 2017**
 - Chancellor & Prior Vice President of Social Events
- Beta Alpha Psi – Professional Accounting Fraternity **January 2014-December 2016**
- Hobbies: Outdoor activities, boating, fishing, hunting, skiing, running

DACE BREGANTINI

1024 Lakefield Rd. apt #2 • Grafton WI 53024 • (414) 380-1461 • kamron@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee

Bachelor of Business Administration

Major: Finance & Accounting

Cumulative GPA: 3.9/4.0

Dean's Honor List: 2016, 2017

Anticipated Graduation: May 2018

Investment Management Certificate Program

- Participate in a 21-month CFA University Affiliated Program (lubar.uwm.edu/IMCP)
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 mil of cumulative AUM

Financed 100% of tuition/living expenses for myself and family through budgeted savings as full time student

University of Wisconsin – Washington County

Major: General Studies AAS

2010–2012

PROFESSIONAL EXPERIENCE:

Perlick Corp.

Production Labor

**Milwaukee, WI
December 2013-15**

- Prioritized job orders for various machines in the fabrication department to run on specific dates
- Maintained inventory of parts for manufacturing and assembly processes
- Organized and delivered production orders from fabrication to manufacturing and assembly departments
- Implemented a time saving method by introducing a structured organization of orders between the first two stages of fabrication

TECHNICAL SKILLS:

- Bloomberg and FactSet
- Microsoft Excel, Word, PowerPoint, Access, VBA programming

OTHER ACTIVITIES:

- Student Investment Club **May 2016–Present**
 - Participated in buy/sell research and decision making
- Amplify Trading Portfolio Management Simulation **November 2016**
 - Participated in 1/2-day practical portfolio management simulation
 - Beat benchmark under a market simulation with actual data and news events
- Nominated/Competed in Business Writing Award Challenge **Spring 2016**
- University of Wisconsin–Milwaukee:
 - Member of Phi Kappa Phi, an international honor society **Spring 2017**
- Manage own IRA
- Manage own 401(k) (trailing year return Q2 2017: 15.79%)

ROGELIO CUEVAS

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EDUCATION:

University of Wisconsin - Milwaukee

Bachelor of Business Administration

Anticipated Graduation: December 2017

Major: Finance

Dean's Honor List: Fall 2016 & Spring 2017

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

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Financed 100% of tuition/living expenses through employment while full-time student.

PROFESSIONAL EXPERIENCE:

Robert W. Baird

Milwaukee, WI

Private Wealth Management Intern

2016–Present

- Assist financial advisor with constructing portfolios using Thomson Reuters
- Update cost basis for clients
- Open investment accounts for clients
- Process PIPS, indication of interest, and syndicate allocations in BETA
- Prepare luncheon/breakfast events with other vendors for PWM
- Issue client checks, and balance daily deposits for Milwaukee PWM
- Maintain branch records per compliance regulations

U.S Bank

Milwaukee, WI

Bank Teller

April 2015–April 2016

- Analyzed customers' financial profiles and referred customers to appropriate financial services and products as required
- Provided customer assistance with balance detail and other inquiries
- Processed customer cash, check, transfers, and transactions with a reconciled drawer
- Processed loan payments accurately, and verified proper ID information

Home Depot

Bay View, WI

Sales Associate

May 2014–April 2015

- Assisted department manager in ordering products when the count was low with the inventory of the inside garden department and tracked shrink status/losses
- Shared ideas with department manager to market low selling products (Velocity E)
- Developed team work to improve efficiency, and communicated with associates to increase company sales

TECHNICAL SKILLS:

- Bloomberg and FactSet
- Microsoft Excel, Word, PowerPoint

OTHER ACTIVITIES

Student Investment Club–Vice-President, Energy Sector Analyst

Nov. 2015–May 2016

- Developed weekly meetings with club members of 30 people
- Interviewed candidates for analyst roles
- Hosted monthly meetings with other members of the board
- Developed buy and sell pitches of energy stocks for a \$60,000 portfolio
- Analyzed holdings using a bottom-up approach
- Presented weekly updates on the energy sector to a club of 30 people

CARTER KAUTH

2837 N Murray Ave • Milwaukee WI 53211 • (715) 570-9585 • chkauth@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee
Bachelor of Business Administration

Anticipated Graduation: May 2019

Major: Accounting

Minor: Economics

Cumulative GPA: 3.952/4.000

Dean's Honor List: 2015, 2016, 2017

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

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Financed 100% of tuition/living expenses through employment and scholarships while a full-time student

PROFESSIONAL EXPERIENCE:

Lubar School of Business Dean's Office

Milwaukee, WI

Student Worker

September 2016-Present

- Evaluate teaching assistants and business courses as part of a team
- Balance and prioritize a variety of projects administered by the Dean's office
- Align and communicate with staff members to complete various projects

Applebee's #216

Stevens Point, WI

Certified Neighborhood Expert

February 2014-August 2016

- Worked as a part of team to ensure customer satisfaction
- Responsible for the training of new hosts and servers
- Received consistent positive customer service reviews

Stevens Point Tents

Stevens Point, WI

General Laborer

May 2013-August 2015

- Read work orders or received oral instructions to determine work assignments and equipment needs
- Responsible for the set up and take down of event tents

TECHNICAL SKILLS:

- Bloomberg and FactSet
- Microsoft Excel, Word, PowerPoint, Access

OTHER ACTIVITIES:

- Student Investment Club–Member
- Alpha Kappa Psi – Chapter Treasurer
- Business Scholars Program – Member
- UWM Honors College – Member
- United Way Make a Difference Day – Volunteer
- Future Business Leaders of America – former chapter president and state champion

CONNOR EDWARD KEMPSON

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EDUCATION:

University of Wisconsin – Milwaukee

Bachelor of Business Administration

Anticipated Graduation: May 2019

Majors: Finance/Accounting

Cumulative GPA: 3.55/4.00

Dean's Honor List: 2015,2016

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

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Business Scholars Program: One of 35 high-achieving business students selected for rigorous seminar-style courses throughout undergraduate career

PROFESSIONAL EXPERIENCE:

Grunau

Milwaukee, WI

Accounts Payable Specialist

May 2017-Present

- Process invoices for multi-million dollar projects
- Reconcile rebates from distributors and manufacturers
- Design new methods of price checking and rebating

Merrill Lynch, A Bank of American Corporation

Milwaukee, WI

Global Wealth Management Intern

August 2016-November 2016

- Organized financial responsibility events
- Updated and assisted implementation of a goals based wealth management system
- Provided relevant mutual fund spreadsheets to advisors

Stein's Garden and Home

Milwaukee, WI

Assistant Lot Manager

June 2016-August 2016

- Organized outdoor sales lot and surrounding landscapes
- Coordinated with store leaders on sales and customer preferences
- Assisted store management with timely project completion

Sendik's Food Market

Milwaukee, WI

Dairy/Frozen Associate

May 2015-January 2016

- Maintained an organized department
- Communicated with store leadership on sales goals
- Assisted customers with questions and complaints

TECHNICAL SKILLS:

- Bloomberg and FactSet
- Microsoft Excel, Word, PowerPoint
- Beginner in JD Edwards

OTHER ACTIVITIES:

University of Wisconsin–Milwaukee:

- Member of Alpha Kappa Psi – A profession business fraternity

December 2016-Present

Hobbies: Hiking and world travel

MATTHEW KLAVER

N10684 Lincoln Dr. • Germantown, WI 53022 • (414)-477-0788 • mgklaver@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee

Bachelor of Business Administration

Anticipated Graduation: May 2019

Major: Finance & Accounting

Finance/Accounting

Cumulative GPA: 3.29/4.00

Dean's Honor List: 2016, 2017

Investment Management Certificate Program

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PROFESSIONAL EXPERIENCE:

Buffalo Wild Wings

Germantown, WI

October 2014–Present

Waiter

- Work in a fast-paced work environment and satisfy customer needs while managing multiple tables
- Accommodate customer needs as they arise and maintain excellent customer service scores
- Communicate the brand message and manage client relationships to produce customer loyalty

Sendik's Food Market

Germantown, WI

September 2012–July 2013

Cashier/Bagger

- Engaged with customers to help solve any problems or misunderstandings in a timely manner
- Learned product codes and best practices to quickly become an incredibly efficient team member
- Displayed patience while dealing with difficult issues and had excellent customer service reviews

GT Engineering

Germantown, WI

June 2011–May 2012

Maintenance

- Helped operate wire electrical discharge machining to create parts for manufacturing equipment
- Developed basic engineering competency to choose parts needed to repair and clean machines
- Managed inventory levels in order to maximize effectiveness from our budget and lead to success

OTHER ACTIVITIES

Beta Alpha Psi

Milwaukee, WI

January 2017–Present

Candidate

- Learn about local employment opportunities in the accounting field through weekly business meetings
- Actively speak and participate in events with local accounting firms
- Volunteer weekly hours in the accounting room to help tutor introduction and intermediate students

Milwaukee Volleyball Club

Milwaukee, WI

September 2016–Present

Active Member/Officer

- Participate regularly in weekly practices, as well as maintain a healthy routine
- Organize long distance travel for all members of the team
- Involved with the financial management of the club through regular meetings pertaining to the budget

Student Investment Club

Milwaukee, WI

September 2015–Present

Active Member

- Research and discuss current financial trends and individual stocks at weekly group discussions
- Attend speaker events to learn more about the investment management field
- Optimize our student portfolio through effective choices based on thorough equity research

SAMUEL MARTINEZ

2979 N Frederick Ave • Milwaukee WI 53211 • (920) 629-5017 • marti662@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee

Bachelor of Business Administration

Anticipated Graduation: May 2019

Major: Accounting & Finance

Cumulative GPA: 3.6/4.0

Dean's Honor List: 2015, 2016

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

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Business Scholars Program: One of 35 high-achieving business students selected for rigorous seminar-style courses throughout undergraduate career

PROFESSIONAL EXPERIENCE:

Ernst & Young LLP

Milwaukee, WI

Launch Intern

June 2017–July 2017

- Rotated through Financial Services Office (FSO) Assurance, Tax, and Advisory
- Completed over 16 hours of web-based learning in private equity and wealth & asset management sectors

Principal Financial Group

Des Moines, IA

Annuity & Client Services Intern

June 2016–August 2016

- Earned Most Outstanding Participant for company involvement and community service (1st/40 interns)
- Presented digital customer experience (CX) strategy final project to senior leaders (CEO, VP, and SVP)

Sheldon B. Lubar School of Business

Milwaukee, WI

Lubar Ambassador

September 2006–Present

- Selected by faculty and peers to serve as a representative of the business school
- Present to prospective students regarding the programs in the business school

TECHNICAL SKILLS:

- Bloomberg, FactSet, EVA Express, Microsoft Excel, Word

ACTIVITIES & AWARDS:

- American Marketing Association – Vice President **January 2017–December 2016**
 - Recruited 118 paid members through 20+ class speeches
- Student Investment Club–Industrials Analyst, Secretary **January 2017–Present**
- Collegiate Entrepreneurs' Organization-Member **September 2015–Present**
- Golden Key International Honor Society (top 15% of class)
- Kohl's Case Competition (2nd/20+ universities - \$400)
- CEO Elevator Pitch Competition (3rd/20 pitches - \$200)
- UWM Public Speaking Showcase Qualifier (top 20/400 speeches)
- UWM Business Writing Challenge Qualifier
- Robert W. Baird Mentoring Circles Program (1/12 selected students)
- Alois Bulawa Scholarship (\$10,500)
- Carlson School of Management Transfer Scholarship (\$2,500)

JACOB MEEHAN

2531 N. Farwell Ave. • Milwaukee WI 53211 • (414) 336-9462 • jmeehan@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee

Master of Science in Management- Financial Analysis

September 2017 - May 2019

Major: Financial Analysis

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

- CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
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University of Wisconsin – Whitewater

Bachelors of Business Administration

September 2013 - May 2016

Major: Finance

Major GPA: 3.77/4.00

Cumulative GPA 3.51/4.00

Dean's Honor List: 2014,2015,2016

PROFESSIONAL EXPERIENCE:

Drexel Hamilton, LLC

Milwaukee, WI

Investment Banking Analyst - Intern

September 2017- Present

- Prepare presentation materials for use in client meetings, covering topics such as strategic alternatives, capital markets activity and general corporate finance
- Build detailed financial models to evaluate performance under various operating scenarios and to analyze the impacts of different capital structures and potential M&A transactions
- Participate in all stages of transaction executions and assisted with various projects

US Bank

Milwaukee, WI

Securities Specialist, Trust Technologies and Securities Services

June 2016 - August 2017

- Accounted for identifying, researching, and resolving asset and cash exceptions
- Helped model Excel spreadsheets to make standard work processes more efficient and less likely to breach service level agreements
- Aided in operational support and processing for various types of securities for corporate trusts, mutual fund custody, and institutional trusts

TECHNICAL SKILLS:

- Bloomberg, FactSet, Morningstar
- Microsoft Excel, Word, PowerPoint

OTHER ACTIVITIES:

- University of Wisconsin-Milwaukee: **September 2017 - Present**
 - Student Investment Club
- University of Wisconsin-Whitewater: **September 2013 - May 2016**
 - Ultimate Frisbee Club - Treasurer
 - Fishing Club
 - Senior nursing home volunteer
 - German Club

BRETT MICHAELS

2973 N. Farwell Ave • Milwaukee WI 53211 • (414)-248-0776 • michae62@uwm.edu

EDUCATION:

University of Wisconsin-Milwaukee **Bachelors of Business Administration**

Expected Graduation: May 2019

Major: Finance & Accounting

Cumulative GPA: 3.864

Dean's List: 2015, 2016, 2017

Business Scholars Program

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

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PROFESSIONAL EXPERIENCE:

Rexnord Corporation

Milwaukee, WI

Marketing Intern-Energy Sector

Summer 2016 and Summer 2017

- Responsible for conducting Product Life Cycle Management (PLCM) research for the Energy Strategic Marketing Group
- Focus on precision and consistency when conducting research aimed to provide key market information to the energy team
- Compile findings into several documents and present to higher level management

UWM University Relations

Milwaukee, WI

Administrative Assistant

September 2016-May 2016

- Responsible for keeping office supplies and rooms organized and submitting supply orders when needed
- Conducted and completed projects with co-workers on various assignments

UWM University Housing

Milwaukee, WI

Security & Service Desk

January 2016-April 2016

- Worked alone with full responsibility for managing entrance and exit security and safety of the housing complex; enhanced problem-solving skills by handling a wide variety and sometimes complex security related situations
- Responsible for keeping the building and residents under supervision
- Responsible for resolving resident policy violations and any issues with the building

TECHNICAL SKILLS:

- Skillful in MS Office Suite, Excel, Word, PowerPoint, Outlook, OneNote, etc.
- Bloomberg and FactSet

OTHER ACCOMPLISHMENTS AND ACTIVITIES

- Admitted directly into the Lubar School of Business (Fall 2015)
- Received a Lubar School of Business Dean's Scholarship (Fall 2015)
- Accepted into the Business Scholars program in the Lubar School of Business (Fall 2016)
- Alpha Kappa Psi Professional Business Fraternity (Fall 2016)

NICHOLAS P. THILLMAN

1660 N Marshall St #2 • Milwaukee, WI 53202 • (920) 227-7422 • npt@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee

Anticipated Graduation: May 2019

Master of Science in Management – Financial Analysis

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

- A CFA University Affiliated Program
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Ripon College

Bachelor of Arts May 2017

Major – Economics, Business Management

GPA: 3.65/4.00

Honor Societies: Laurel Society, Omicron Delta Epsilon member

Honors: Cum Laude, Department Honors in Economics & Business Management

University of St. Andrews

Spring Semester 2016

Accounting Lab Assistant

August 2015 – May 2017

PROFESSIONAL EXPERIENCE:

UMB Fund Services Operations

Milwaukee, WI

Operations Intern

May 2017 – Present

- Assisted in processing transactions and maintenance of shareholder accounts while adhering to deadlines and obtaining 100% accuracy
- Recorded and responded to investors in writing involving inquiries, complaints, and incomplete or inaccurate transaction requests

Creative Enterprise Consultants

Ripon, WI

Consultant

February 2015 – May 2017

- Surveyed, developed, and published a study for Wisconsin Economic Development Corporation on the effects that store hour changes have on sales and first-time customers
- Directed market research for the Ripon Main Street Program for future downtown businesses
- Completed business planning, feasibility studies, marketing plans, financials, and budgets

Wisconsin Public Service

Green Bay, WI

Account Management Intern

May 2016 – August 2016

- Supported WPS account executives and select accounts
 - Analyzed rate comparisons for numerous Fortune 500 account-managed customers
 - Gathered and reported data on the success of WPS programs such as We Care and Focus on Energy
 - Compiled survey data from Account Managed customers on the service of account executives to ensure customers were receiving the best service possible
 - Researched utility prices in other markets to compare pricing between WPS and its competitors

TECHNICAL SKILLS:

- Bloomberg and FactSet
- Microsoft Excel, Word, PowerPoint, SQL programming

EXTRACURRICULARS

Ripon College Men's Soccer Team

August 2013 – November 2016

- 4-time Academic All-Conference Selection, 2016 Team Captain, 2015 Team Defensive Player of the Year

Sigma Chi Fraternity

April 2014 – May 2017

Treasurer

February 2015 – January 2016

- Created and executed a \$10,000 budget each semester
- Completed and submitted all federal and state tax reports and Social Security

Interfraternity Council Treasurer

April 2014 – May 2015

- Managed a \$3,000 yearly budget and updated financial records for IFC

DEREK URBEN

3034 N. Farwell Ave. • Milwaukee WI 53211 • (608)-575-4126 • durben@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee

Bachelor of Business Administration

Anticipated Graduation: May 2019

Major: Finance & Economics

Honors College Student

Cumulative GPA: 3.54/4.00

Dean's Honor List: 2015, 2016

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

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PROFESSIONAL EXPERIENCE:

Briggs and Stratton Corporation

Wauwatosa, WI

Corporate Development & M&A Intern

February 2016–Present

- Manage, update, and analyze a M&A Pipeline of 250+ companies valued between \$10 - \$400 million
- Conduct market / competitive research and company benchmarking in concert with strategic planning
- Research M&A candidates while including qualitative, financial modeling, and valuation analysis
- Communicate with CEO, CFO, SVP's, & Investment Banks to perform due diligence
- Construct, maintain, and enhance the departments M&A analysis tools, templates, and processes

Coinigy Inc.

Milwaukee / Global Team

Chief Financial Officer & Business Development

September 2014 - Present

- Manage ~\$550,000 in business's capital & account for all transactions, payroll, and taxes
- Create budget proposals based on historical month-over-month revenue and expense growth
- Lead B2B sales with global currency exchanges, FX Brokers, hedge funds, & other asset managers
- On board and interact with thousands of new users and customers via email and phone support 24/7

Nord Gear Corporation

Milwaukee, WI

IT & Accounting Intern

October 2015–February 2016

Led internal helpdesk efforts for global employees

- Managed on-site and off-site projects up to \$10,000 in project budgets
- Organized and recorded company's IT assets and expenses

TECHNICAL SKILLS:

- Bloomberg, EVA Express, Capital IQ, & FactSet
- Microsoft Excel, Word, PowerPoint, Access, Visio, SQL, Python / R, and HTML / CSS programming

OTHER ACTIVITIES:

- **2015 – Present:** Student Investment Club– President ('17) & Financials / Technology Analyst ('15-'16)
 - Provided weekly analyst updates, successfully pitched JP Morgan & Chase, and lead the student organization of ~50 students. Obtain school grants and control \$60,000 portfolio
- **2016 – 2017:** Alpha Kappa Psi Professional Fraternity – Co-Chairman of Professional Development Committee
- **2015 – 2016:** Collegiate Entrepreneurship Club – Active member and advisor for start-ups within UWM
- **2013 – Present:** Independent Freelance Web-Design – Coded multiple professional and corporate websites for regional companies in the medical device industry
- Hobbies & Interests: Blockchain technology, Sailing, Windsurfing, Soccer, & Traveling
- Volunteer Activities: Food 4 Kidz, Mozayik Village \$1 Million Fundraiser, & Girls on The Run

M. GUS VANEVENHOVEN

2222 E. Bellevue Pl. Apt # 302 • Milwaukee WI 53211 • (920) 850-7124 • vaneven7@uwm.edu

EDUCATION

University of Wisconsin – Milwaukee

Master of Science in Management – Financial Analysis

Cumulative GPA: 4.0/4.0

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 mil of cumulative AUM

Milwaukee, WI

Anticipated Graduation: May 2019

University of Wisconsin - Oshkosh

Bachelor of Business Administration - Finance

Cumulative GPA: 3.5/4.0

Finance GPA: 3.8/4.0

Oshkosh, WI

Graduation Date: December 2016

Hochschule Osnabrück

Study Abroad – International Business

Osnabrück, Germany

February – July 2015

PROFESSIONAL EXPERIENCE

Northern Trust Corporation

Global Operations Analyst, Fixed Income

- Administer assets for complex hedge fund client within a fast-paced environment
- Liaison between middle and back office to ensure efficient resolution of breaks and open reconciling items

Chicago, IL

February 2017 – June 2017

Endowment Wealth Management, Inc.

Finance Intern

- Researched/analyzed economic and financial market data, investment strategies, asset classes, investment platforms, asset allocation, risk management, marketing strategy, performance reporting, and compliance
- Created compelling professional content and facilitate delivery of that content through various channels, including our websites, blog, social media, newsletters, and other print/digital outlets
- Assisted Wealth Management Director with the creation and implementation of wealth plans/strategies

Appleton, WI

May 2016 – December 2016

Student-Managed Endowment Fund (UW-Oshkosh)

Portfolio Manager & Analyst

- Led a team of 10 student analysts during the summer semester course, while working a full-time internship
- Oversaw a \$650,000+ portfolio & asset allocation in addition to analyst duties; primarily industrials sector equity analysis and research

Oshkosh, WI

January 2016 - August 2016

Luvata Appleton, LLC

Purchasing Intern

- Evaluated quotes from potential suppliers for cost savings and quality improvement opportunities, resulting in over \$15,000 of direct cost savings
- Managed tool room inventory, focusing on lean principles

Appleton, WI

August 2015 - May 2016

OTHER ACTIVITIES

- CFA Level I Candidate – December 2017
- Member of UW-Oshkosh team that won the Wisconsin – Madison “local” CFA Institute Research Challenge with a report covering A.O. Smith and was amongst the top 10% in North America to advance to the “regional final” in Seattle – winter 2017
- Extensive experience with Morningstar, FactSet, Bloomberg, Envestnet, Microsoft Office, including Word, PowerPoint, Publisher and Excel, as well as social media with business application

JOHN VEAL

4244 S 4th St. • Milwaukee WI 53207 • (414) 507-7707 • johnvealpt@gmail.com

EDUCATION:

University of Wisconsin – Milwaukee

Master of Science in Management – Financial Analysis

Anticipated Graduation: May 2019

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 mil of cumulative AUM

University of Oklahoma

Bachelor of Business Administration

July 2017

Major: Finance

PROFESSIONAL EXPERIENCE:

Wells Fargo Asset Management, Menomonee Falls, WI

Jan 2015-Present

Client Service Consultant

- First point of contact for client requests, concerns, and needs
- Cross-sell Wells Fargo products and services while providing information to non-investment professionals
- Plan and implement strategies to gain and retain client assets

Self-Employed, Milwaukee, WI

Mar 2004-Jan 2015

Personal Trainer

- Networked and conducted meetings to assist with increasing clientele
- Managed and maintained records for a business
- Created a business plan and implemented it for profitability
- Created fitness solutions for clients in order to achieve their personal fitness goals

US Bank, Milwaukee, WI

Jun 2001-Mar 2004

Mutual Funds Specialist

- Processed and reconciled daily valuation accounts relating to 401(k) plans
- Communicated with shareholders, fund clients and agencies via telephone to resolve problems
- Initiated account financial transactions and processed financial trades over \$1 million

Arvest Bank, Norman, OK

Jan 1999- Sept 1999

Internship

- Prepared daily reconciliation and produced month-end reports for officers

TECHNICAL SKILLS AND DESIGNATIONS:

- CFA Level II Candidate (June 2017)
- Series 7 and Series 63
- Microsoft Excel, Word, PowerPoint, VBA programming, Salesforce
- Bloomberg, EVA Express, and FactSet

OTHER ACTIVITIES:

Elmbrook Public Schools, Brookfield, WI

Sept 04- Mar 2014

Head Wrestling Coach

- Instructed team in sport rules, strategies, and performance principles in order achieve desired results

All Saints Catholic Church, Milwaukee, WI

Jan 2011- Dec 2014

Treasurer

TRAVIS WIEDMEYER

4332 N Oakland Ave Apt # 4 • Milwaukee WI 53211 • (262) 365-4452 • wiedme23@uwm.edu

EDUCATION:

University of Wisconsin – Milwaukee

Bachelor of Business Administration

Anticipated Graduation: May 2019

Major: Finance, Minor: Mathematics

Cumulative GPA: 3.92/4.00

Major GPA: 3.91/4.00

Dean's Honor List: 2016, 2017

Investment Management Certificate Program (21-Months) (lubar.uwm.edu/IMCP)

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 M of cumulative AUM

University of Wisconsin – Washington County

Major: General Studies AAS

2014–2016

Cumulative GPA 3.25/4.00

Dean's Honor List: 2014, 2015

West Bend Mutual Scholarship Recipient

PROFESSIONAL EXPERIENCE:

Xela Capital

Seattle, WA

Trading Analyst Intern

September 2017–Present

- Report data from earnings releases in support of trading activities
- Participate in weekly meetings with head trader when trades are reviewed in detail

Elite Nutrition

West Bend, WI

Sales Associate

May 2016–December 2016

- Operated store independent of direct management
- Handled sales, customer service, daily reconciliation of payments and sales

S&I Masonry

West Bend, WI

Laborer

February 2015–April 2016

- Often completed client projects without owner oversight
- Installed concrete, block foundations, and different forms of stone and brick

TECHNICAL SKILLS:

- Bloomberg, EVA Express, and FactSet
- Microsoft Excel, Word, PowerPoint

OTHER ACTIVITIES:

- Student Investment Club–Vice President **May 2017–Present**
 - Managed and led the club on both the investment and administrative side
- Student Investment Club–Technology Sector Analyst **August 2016–May 2017**
 - Researched and pitched new stocks for purchase and monitored positions
- Kappa Sigma Fraternity–Grand Treasurer **November 2016–Present**
 - Responsible for all financing and budgeting for Tau-Tau chapter
- Amplify Trading–Certificate in Portfolio Management in Practice **November 2016**
 - Participated in intensive ½ day practical experience in portfolio management using market simulation with real market data and news
 - Outperformed benchmark by 12% over one year span using multi-asset portfolio